

List A Individual

a. Simplified Due Diligence	<ul style="list-style-type: none"> ✓ Passport or identity card ✓ Utility bill – not more than 3 months old
a. Standard Due Diligence	<ul style="list-style-type: none"> ✓ Passport or identity card ✓ Utility bill – not more than 3 months old ✓ Curriculum Vitae / profile
a. Enhanced Due Diligence	<ul style="list-style-type: none"> ✓ Passport or identity card ✓ Utility bill – not more than 3 months old ✓ Curriculum Vitae / profile ✓ Bank Reference or Professional Reference not more than 6 months old <i>or legal opinion or Police Clearance Certificate...</i>

List B Company

Documents required
<ul style="list-style-type: none"> ✓ Certificate of Incorporation ✓ Details of the registered office address and principal place of business; ✓ Certificate of Good Standing or Company registry Extract ✓ Certificate of Incumbency (template enclosed) ✓ Documents of Directors/shareholders/Ultimate beneficial owners ✓ Corporate Structure

***All documents should be duly certified.*

Examples for Enhanced Due Diligence Documents for High Risk Customers

Individual	1. Bank reference
	2. Evidence of source of funds and source of wealth
	3. Bank statements for last 6 months
	4. Criminal records checks and internet checks
	5. Permits/Licences/ID
	6. Legal Opinion
	7. Professional references
Corporate	8. Certificate of Good Standing
	9. Copy of latest audited financial statements
	10. Evidence of source of funds and source of wealth of UBO
	11. Bank reference on UBO
	12. Criminal records checks and internet checks